

# SWATI PROJECTS LIMITED

CIN: L65993WB1983PLC036332

1, ABDUL HAMID STREET, 4<sup>TH</sup> FLOOR, ROOM NO. 407,  
KOLKATA-700069

E mail: [swatiprojectsltd@gmail.com](mailto:swatiprojectsltd@gmail.com)

Phone: +91 9830077000 / 9988796071

Website: [www.swatiprojects.com](http://www.swatiprojects.com)

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To  
The Listing Department  
The Calcutta Stock Exchange Limited  
7 Lyons Range  
Kolkata- 700001

21<sup>st</sup> October, 2024

Dear Sir / Madam,

**Sub: Structured Digital Database (SDD) Compliance Certificate for the Quarter ended 30<sup>th</sup> September, 2024 as required under Regulation 3(5) and 3(6) of SEBI (Prohibition of Insider Trading) Regulations, 2015**

**Ref: Scrip Code: CSE - 029458, BSE- 543914, MSEI Symbol: SWATI, ISIN: INE210F01016**

Please find enclosed the Structured Digital Database (SDD) Compliance Certificate for the Quarter ended 30<sup>th</sup> September, 2024 as required to be submitted under Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations).

This is for your intimation and record.

Thanking You.

Yours faithfully,

**For Swati Projects Limited**

*Ravi Todi*

**Company Secretary  
Name: Ravi Todi**



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## COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER 30, 2024

**(Pursuant to the Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)**

I, **RAVI TODI**, Company Secretary and Compliance officer of **M/s Swati Projects Limited (CIN: L65993WB1983PLC036332)** having its registered office at **1, British Indian Street, 4<sup>th</sup> Floor, Room No. 407, Kolkata – 700069**. I am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that:

1. The Company has a Structured Digital Database in place
2. Control exists as to who can access the SDD
3. All the UPSI disseminated in the previous quarter have been captured in the Database
4. The system has captured nature of UPSI along with date and time
5. The database has been maintained internally and an audit trail is maintained
6. The database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture **THREE** number of events during the quarter ended and has captured **THREE** number of the said required events.

I would like to report that there were no non-compliance(s) observed in the previous quarter.

Thanking You.

Yours faithfully,  
**For Swati Projects Limited**

*Ravi Todi*

**Company Secretary**

**Name: Ravi Todi**

**Place: Kolkata**

**Date: 21<sup>st</sup> October, 2024**

