

SWATI PROJECTS LIMITED

CIN: L65993WB1983PLC036332

168-B, JAMUNALAL BAJAJ STREET, KOLKATA-700007

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Phone: +91 9830077000 / 9988796071

Website: www.swatiprojects.com

To
The Listing Department
The Calcutta Stock Exchange Limited
7 Lyons Range
Kolkata- 700001

14th October, 2022

Dear Sir / Madam,

Sub.: **Submission of documents**

This is to intimate you that we are submitting documents for M/s. Swati Projects Limited as per SEBI (LODR) Regulations, 2015 as follows:

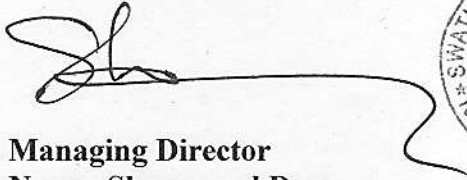
1. **Regulation 27(2) – Corporate Governance**
For the quarter and half year ended 30.09.2022

This is for your intimation and record.

Thanking You.

Yours faithfully,

For Swati Projects Limited



Managing Director
Name: Shreegopal Daga
DIN: 00397379



General information about company

Scrip code	029458
NSE Symbol	
MSEI Symbol	SWATI
ISIN	INE210F01016
Name of the entity	SWATI PROJECTS LIMITED
Date of start of financial year	01-04-2022
Date of end of financial year	31-03-2023
Reporting Quarter	Half Yearly
Date of Report	30-09-2022
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other



For Swati Projects Limited

Managing Director

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Notes on composition of board of directors explanatory		Disqualification of Directors under section 164 of the Companies Act, 2013													N C i S C				
Whether the listed entity has a Regular Chairperson		Yes												Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)					
PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17(A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment		Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)
		Whether Chairperson related to Promoter	Yes																
		Whether Chairperson related to Promoter	Yes																
ADWPD3061H	00397379	Executive Director	Chairperson related to Promoter	MD	12-01-1940	No				Active	NA	01-08-2014	01-08-2019		60	1	0	1	0
ADWPD3057D	05239623	Non-Executive - Non Independent Director	Not Applicable		30-04-1972	No				Active	NA	25-06-2012	08-09-2022			1	0	0	0
ADPPM4670G	08820036	Non-Executive - Non Independent Director	Not Applicable		19-08-1976	No				Active	NA	14-09-2020				1	0	0	0
AHGPK3795H	08422654	Non-Executive - Independent Director	Not Applicable		27-08-1974	No				Active	NA	07-05-2019				60	0	1	1



For Swati Projects Limited

[Signature]
Managing Director

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

AN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of Chairpersons in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
217918C	09469579	Non-Executive - Independent Director	Not Applicable		08-08-1987	No				Active	NA		15-01-2022			60	0	1	1	0
PJ6458R	09629214	Non-Executive - Independent Director	Not Applicable		15-09-1972	No				Active	NA		30-05-2022			60	0	1	1	1



For Swati Projects Limited

[Signature]
Managing Director

Audit Committee Details						
Sr	DIN Number	Name of Committee members	Whether the Audit Committee has a Regular Chairperson			Remarks
			Category 1 of directors	Category 2 of directors	Yes	
1	00397379	SHREGOPAL DAGA	Executive Director	Member	01-08-2014	
2	08422654	RISHI KAPOOR	Non-Executive - Independent Director	Chairperson	07-05-2019	
3	09629214	AVINASH JAIN	Non-Executive - Independent Director	Member	30-05-2022	



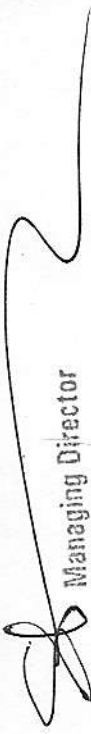
For Swati Projects Limited

[Signature]
Managing Director

Nomination and remuneration committee						
Whether the Nomination and remuneration committee has a Regular Chairperson						
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Yes	Remarks
1	08422654	RISHI KAPOOR	Non-Executive - Independent Director	Chairperson	07-05-2019	
2	09629214	AVINASH JAIN	Non-Executive - Independent Director	Member	30-05-2022	
3	09469579	AMIT LAL	Non-Executive - Independent Director	Member	15-01-2022	



For Swati Projects Limited


Managing Director

Stakeholders Relationship Committee						
Whether the Stakeholders Relationship Committee has a Regular Chairperson						
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Yes	Remarks
1	09629214	AVINASH JAIN	Non-Executive - Independent Director	Chairperson	30-05-2022	
2	00397379	SHREEGOPAL DAGA	Executive Director	Member	01-08-2014	
3	08422654	RISHI KAPOOR	Non-Executive - Independent Director	Member	07-05-2019	



For Swati Projects Limited

Managing Director

Risk Management Committee

Whether the Risk Management Committee has a Regular Chairperson						
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation
6						
						Remarks Textual Information(I)



For Swati Projects Limited

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Managing Director

Sr Text Block	
Textual Information(1)	gszfg

For Swati Projects Limited



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Managing Director

Corporate Social Responsibility Committee

Whether the Corporate Social Responsibility Committee has a Regular Chairperson		No					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks



For Swati Projects Limited

Managing Director

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks



For Swati Projects Limited

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Managing Director

Annexure 1

Annexure 1

III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory		Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter						
1	25-04-2022			Yes	6	6	3
2	26-05-2022	30		Yes	6	6	3
3	30-05-2022	3		Yes	5	5	2
4	13-08-2022	74		Yes	6	6	3



For Swati Projects Limited

Managing Director

Annexure 1

IV. Meeting of Committees										
Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors (All directors including Independent director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	30-05-2022				Yes	2	2	2	2
2	Audit Committee	13-08-2022	74			Yes	3	3	3	3
3	Nomination and remuneration committee	30-05-2022				Yes	2	2	2	2



For Swati Projects Limited

Managing Director

Annexure 1

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	NA	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	



For Swati Projects Limited


Managing Director

Annexure 1

VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes



For Swati Projects Limited

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Managing Director

Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	SHREEGOPAL DAGA
2	Designation	Managing Director



FocSwati Projects Limited

Managing Director

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Annexure III

III. Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
5	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Any other information to be provided



For Swati Projects Limited

Managing Director

Annexure III

1	Name of signatory	SHREGOPAL DAGA
2	Designation	Managing Director



For Swati Projects Limited


Managing Director

Additional Half yearly Disclosure		
Applicability of disclosure	Applicable	
Reason for Non Applicability	Textual Information(1)	
I. Disclosure of Loans/ guarantees/comfort letters /securities etc.refer note below		
(A)Any loan or any other form of debt advanced by the listed entity directly or indirectly to		
Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	2112080	91908334
Promoter Group or any other entity controlled by them	0	0
Directors (including relatives) or any other entity controlled by them	0	0
KMPs or any other entity controlled by them	0	0
(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt-availed By		
Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months
Promoter or any other entity controlled by them	0	0
Promoter Group or any other entity controlled by them	0	0
Directors (including relatives) or any other entity controlled by them	0	0
KMPs or any other entity controlled by them	0	0
(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt-availed by		
Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months
Promoter or any other entity controlled by them	0	0
Promoter Group or any other entity controlled by them	0	0



For Swati Projects Limited

Shahi Kumar

Chief Financial Officer

For Swati Projects Limited
Managing Director

Directors (including relatives) or any other entity controlled by them	0	0	0
KMPs or any other entity controlled by them	0	0	0
(D) Additional Information			
II. Affirmations			
Affirmations	Compliance Status		Company Remarks
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company.	Yes		Textual Information(3)
Name	SHASHI KUMAR		
Designation	CFO		
Place	KOLKATA		
Date	30-09-2022		



For Swati Project Limited

Shashi Kumar

Chief Financial Officer

For Swati Projects Limited

[Signature]

Managing Director

Signatory Details

Name of signatory	SHREGOPAL DAGA
Designation of person	Managing Director
Place	KOLKATA
Date	30-09-2022



For Swati Projects Limited

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Managing Director