Mandatory furnishing of PAN, KYC details and Nomination by holders of physical securities

SEBI vide circular dated <u>03.11.2021</u> and <u>14.12.2021</u> has mandated for all holders of physical securities in listed company to furnish the following documents / details to the RTA (Registrar & Share Transfer Agent);

1) PAN

2) Nomination (for all eligible folios)

Details of nomination may be furnished through hard copy or through electronic mode with e-signature, separately for each company, as follows;

- i. Either providing,
 - Nomination through <u>Form SH-13</u> as provided in the Rules 19 (1) of Companies (Shares capital and debenture) Rules, 2014 or
 - 'Declaration to Opt-out', as per Form ISR-3
- ii. In case of cancellation of nomination by the holder(s) through <u>Form SH-14</u>, then 'Declaration to Opt-out' shall be provided by the holder(s)
- iii. Securities holder(s) can change their nominee through Form SH-14
- 3) Contact details:

Postal address (address available with RTA) with PIN, Corresponding Folio Number, Mobile number, E-mail address

- 4) Bank account details (bank name and branch, bank account number, IFS code)
- 5) Specimen signature in form <u>ISR-2</u>