

SWATI PROJECTS LIMITED

CIN: L65993WB1983PLC036332

168-B, JAMUNALAL BAJAJ STREET, KOLKATA-700007

E mail: swatiprojectsltd@gmail.com

Phone: +91 9830077000 / 9988796071

Website: www.swatiprojects.com

To
The Listing Department
The Calcutta Stock Exchange Limited
7 Lyons Range
Kolkata- 700001

29th April 2024

Dear Sir/Madam,

Sub: Submission of Certificate under Regulation 40(9) read with Regulation 40(10) of SEBI (LODR) Regulations, 2015 for the year ended on March 31, 2024

Ref: Scrip Code: CSE - 029458, BSE- 543914, MSEI Symbol: SWATI, ISIN: INE210F01016

Pursuant to Regulation 40(9) read with Regulation 40(10) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, please find enclosed herewith a certificate dated April 29, 2024, issued by a Practicing Company Secretary Shruti Agarwal, Company Secretaries on the functions of share transfer works conducted by Registrar & Transfer Agent (RTA) on behalf of the Company for a period from April 1, 2023 to March 31, 2024.

This is for your intimation and records

Thanking You.

Yours faithfully,

For Swati Projects Limited

Shashi Kumar

**Chief Financial Officer
Name: Shashi Kumar**





SHRUTI AGARWAL
PRACTISING COMPANY SECRETARY
MOBILE : + 91 - 9330826989
EMAIL ID : cs.agarwalshruti@gmail.com

COMPLIANCE CERTIFICATE

As per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

FOR THE FINANCIAL YEAR ENDED ON 31ST MARCH, 2024

We have examined all share transfer deeds, Memorandum of Transfers (MOT's), Registers, Files and, other Documents and Papers relating to **M/s Swati Projects Limited (CIN: L65993WB1983PLC036332)** Kolkata, maintained by them and their Share Transfer Agents **M/s. Niche Technologies Pvt. Ltd.**, Kolkata (RTA of the Company), pertaining to transfer of Equity Shares of the Company for the Financial year ended on **31st March, 2024** for the purpose of issuing a Compliance Certificate in terms of **Regulations 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended**, and to the best of our knowledge, we hereby certify that the company has issued during the above financial year ended on **31st March, 2024**.

- a. Share Certificates relating to share transfers deeds received during the period from **01-04-2023 to 31-03-2024** if any, as entered in the Memorandum of Transfers (MOT's) have been issued within 30 days of the date of lodgment of each transfer deed (except those rejected on technical grounds).
- b. Share Certificates in respect of requests for sub-division, consolidation, exchange of old certificates split, renewal if any, have been issued within 30 days from the respective date of lodgment (except those rejected on technical grounds).



Shruti Agarwal
Company Secretary in Practice
CP No.14602

Place: Kolkata

Date: 29.04.2024

UDIN: A038797F000268180

PEER REVIEW NO. - 3206/2023