



# A L & ASSOCIATES

Company Secretaries

24, Netaji Subhas Road, 4th Floor, Room No. 33, Kolkata - 700 001

Ph : 4007 4750, E-mail : [alassociates2014@gmail.com](mailto:alassociates2014@gmail.com)

## RECONCILIATION OF SHARE CAPITAL AUDIT REPORT

As per Regulation 55A of SEBI (Depositories & Participants) Regulations, 1996

|  |  |
|--|--|
| 1 For quarter Ended  | 31ST DECEMBER, 2015                              |
| 2 ISIN   | INE840I01014                                     |
| 3 Face Value   | 10/- each  |
| 4 Name of the Company  | SWATI PROJECTS LIMITED                           |
| 5 Registered Office Address  | 168 B JAMUNALAL BAJAJSTREET<br>KOLKATA WB 700007 |
| 6 Correspondence Address   | 168 B JAMUNALAL BAJAJSTREET<br>KOLKATA WB 700007 |
| 7 Telephone & Fax Nos.   | 9830077000                                       |
| 8 E-mail Address   | swatiprojects@rediffmail.com                     |
| 9 Names of the Stock Exchanges where the company's securities are listed | 1. CSE Limited                                   |

|   |             | Number of Shares | % of Total Issue Cap |
|---|-------------|------------------|----------------------|
| 10 Issued Capital   |             |                  |                      |
| 11 Listed Capital(Exchange-wise)<br>(as per company record) | CSE Limited | 3,375,000        | 100%                 |
|   |             | 2,375,000        | 70%                  |

|  |                |
|--|----------------|
| 12 Held in dematerialised form in CDSL                             | 1,625,000      |
| 13 Held in dematerialised form in NSDL                             | 750,000        |
| 14 Physical  | 1,000,000      |
| 15 Total No of shares (12+13+14)                                   | 3,375,000      |
| 16 Reasons for difference if any,between (10&11),(10&15),(11&15) : | NOT APPLICABLE |

17 Certifying the details of changes in share capital during the quarter under consideration as per Table below :

| Particulars* | No. of shares | Applied/Not Applied for listing | Listed Stock Exchanges (Specify names) | Whether intimated to CSDL | Whether intimated to NSDL |
|--------------|---------------|---------------------------------|--|---------------------------|---------------------------|
|              |               |                                 |  |                           |                           |

\* Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction Forfeiture, Any other (to specify)

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18 Register of Members is updated (Yes/No)  
if not, updated-up to which date

: 2 :

YES

19 Reference of previous quarter with regards to excess dematerialized shares, if any,

N.A.

20 Has the company resolved the matter mentioned in point no. 19 above in the current quarter? If not, reason why?

N.A.

21 Mentioned the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay :

| Total No. of demat requests  | No. of requests | No. of shares | Reasons for delay |
|------------------------------|-----------------|---------------|-------------------|
| Confirmed after 21 Days      | Nil             | Nil           | Nil               |
| Pending for more then 21Days | Nil             | Nil           | Nil               |

22 Name, Telephone & Fax No. of Compliance Officer of the Company

Shree Gopal Daga  
add: MAHNA SINGH ROAD  
DAGA HOUSE  
AMRITSAR 143006  
PB IN

23 Name, Address, Tel & Fax No Registration no. of the Auditor

PRITI LAKHOTIA - PARTNER, AL & ASSOCIATES  
FRN- P2014WB037000  
24, N.S ROAD, 4TH FLOOR, KOLKATA-700001.  
Tel : 033-40074750

24 Appointment of common agency for share registry work, if yes (name & address)

NICHE TECHNOLOGIES PRIVATE LIMITED  
D-115, BAGREE MARKET, 71, B.R.B.B.ROAD  
KOLKATA - 700 001

25 Any other detail that the auditor may like to provide (e.g. BIFR company, delisting from Stock Exchange, company changed its name etc.)

N.A.

For, AL & Associates

**For AL & ASSOCIATES**

*Priti Lakhota*

Partnership firm **Partner**  
Membership No. 21970  
CP No. 12790

Place : Kolkata  
Dated : 14/01/2016