

Name of Listed Equity: SWATI PROJECTS LTD.

Scrip Code:

Shareholding Pattern Filed under: Reg. 31(1)(b) Quarter Ended: 30/09/2017

Particulars	Yes	No
1 Whether the Listed Equity has issued any Partly Paid up shares?		No
2 Whether the Listed Equity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Equity has any shares against which Depository Receipts are issued?		No
4 Whether the Listed Equity has any shares in Locked-in?		No
5 Whether any shares held by Promoters are Pledge or otherwise encumbered?		No

TABLE I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category	Name of shareholders	Nos. of Shareholders	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Shares Underlying Depository Receipt	Total Nos. Shares Held	Shareholding Calculated as per SCRB, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as % assuming full conversion of securities (as a % of diluted share capital) (XI)-(VII)+(X) as a % of (A)+(B)+(C)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in dematerialized form (XIV)
								Class X	Class Y	Total					
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)								
(A)	Promoter & Promoter Group	6	795550			795550	23.572	795550	795550	23.572		23.572			329050
(B)	Public	1101	2579450			2579450	76.428	2579450	2579450	76.428		76.428			2375000
(C)	Non Promoter - Non Public														
(C1)	Shares underlying DRs														
(C2)	Shares held by Employee Trusts														
<b>TOTAL</b>		<b>1107</b>	<b>3375000</b>			<b>3375000</b>	<b>100.000</b>	<b>3375000</b>	<b>3375000</b>	<b>100.000</b>		<b>100.000</b>			<b>2704050</b>

TABLE II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up shares Held	No. of Partly Paid-up shares Held	No. of Shares Underlying Depository Held	Total Nos. Shares (VII) = (IV)+(V)+(VI)	Shareholding Calculated as per SCRB, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as % assuming full conversion of securities (as a % of diluted share capital) (XI) = (VII) + (X)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in demat form (XIV)
									No. of Voting Rights							
									Class X	Class Y	Total					
(1)	Indian															
(a)	Individuals/Hindu undivided Family		5	696750			696750	20.644	696750	696750	20.644	20.644				329050
	ANURAG DAGA	ADWPD3057D		1500			1500	0.044	1500	1500	0.044	0.044				
	SHREE GOPAL DAGA	ADWPD3061H		26750			26750	0.793	26750	26750	0.793	0.793				400
	SHREE GOPAL DAGA (HUF)	AALHS2654D		33950			33950	1.006	33950	33950	1.006	1.006				29000
	SUDHA DAGA	ADWPD3060G		391750			391750	11.607	391750	391750	11.607	11.607				227000
	VATSALA DAGA	ADPPM4670G		242800			242800	7.194	242800	242800	7.194	7.194				72650
(b)	Central Government/State Government(s)															
(c)	Financial Institutions/Banks															
(d)	Any Other															
	Bodies Corporate		1	98800			98800	2.927	98800	98800	2.927	2.927				
	VINAL INVESTMENT LTD.	AABCV1555F		98800			98800	2.927	98800	98800	2.927	2.927				
	Trusts															
	Clearing Members															
	Sub-Total (A) (1)		6	795550			795550	23.572	795550	795550	23.572	23.572				329050
(2)	Foreign															
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)															
(b)	Government															
(c)	Institutions															
(d)	Foreign Portfolio Investor															
(e)	Any Other															
	OCBs															
	Sub-Total (A) (2)															
	Total Shareholding of Promoter and Promoter Group (A) = (A) (1) + (A) (2)		6	795550			795550	23.572	795550	795550	23.572	23.572				329050

TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up shares Held	No. of Partly Paid-up shares Held	No. of Shares Underlying Depository Held	Total Nos. Shares Held	Shareholding Calculated as per SCRB, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying outstanding securities (including Warrants) (X)	Shareholding as % assuming full conversion of securities (as a % of diluted share capital) (XI)-(VII)+(X) as a % of (A)+(B)+(C)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in dematerialized form (XIV)
									No. of Voting Rights							
									Class X	Class Y	Total					
(1)	Institutions	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)								
(a)	Mutual Funds															
(b)	Venture Capital Funds															
(c)	Alternate Investment Funds															
(d)	Foreign Venture Capital Investors															
(e)	Foreign Portfolio Investors															
(f)	Financial Institutions/Banks															
(g)	Insurance Companies															
(h)	Provident Funds/Pension Funds															
(i)	Any Other															
	Foreign Institutional Investors															
	QFI - Corporate															
	Sub-Total (B) (1)															
(2)	Central Government/State Government(s)/President of India															
	Sub-Total (B) (2)															
(3)	Non-Institutions															
(a)	Individuals															
	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		1091	204450			204450	6.058	204450	204450	6.058	6.058				
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.															
(b)	NBFCs registered with RBI															
(c)	Employee Trusts															
(d)	Overseas Depositors (holding DRs) (balancing figure)															
(e)	Any Other															
	Bodies Corporate		10	2375000			2375000	70.370	2375000	2375000	70.370	70.370				2375000
	ANURODH INFRASTRUCTURE PVT. L	AAJCA1464E		250000			250000	7.407	250000	250000	7.407	7.407				250000
	TD															
	BURNPUR POWER PRIVATE LIMITED	AADC87722N		250000			250000	7.407	250000	250000	7.407	7.407				250000
	FAITHFUL CLOTH MERCHANTS PRIV	AABCF6670P		250000			250000	7.407	250000	250000	7.407	7.407				250000
	ATE LIMITED															
	FASTNER MACHINERY DEALERS PVT	AABCF6671N		125000			125000	3.704	125000	125000	3.704	3.704				125000
	LTD															
	JAGUAR INFRA DEVELOPERS PRIVA	AACCC5145N		250000			250000	7.407	250000	250000	7.407	7.407				250000
	TE LIMITED															
	MULTIFOLD PLASTIC MARKETING P	AAGCM9703K		250000			250000	7.407	250000	250000	7.407	7.407				250000
	RIVATE LIMI															
	ORIGINAL FASHION TRADERS PRIV	AABCO4133G		250000			250000	7.407	250000	250000	7.407	7.407				250000
	ATE LIMITED															
	PEARS MERCANTILES PVT. LTD	AAFPC8292H		250000			250000	7.407	250000	250000	7.407	7.407				250000
	SHREYANS EMBROIDERY MACHINE P	AAPCS2888E		250000			250000	7.407	250000	250000	7.407	7.407				250000

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									Class X	Class Y	Total					
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)									
	RIVATE LIMI WONDERLAND PAPER SUPPLIERS PR AABCW0544K			250000			250000	7.407	250000		250000	7.407		7.407		250000
	RIVATE LIMI CPI - Individual NRI - Repatriable NRI - Non-Repatriable OCBs Trusts Clearing Members															
	Sub-Total (B) (3)		1101	2579450			2579450	76.428	2579450		2579450	76.428		76.428		2375000
	Total Public Shareholding (B) = (B) (1)+(B) (2)+(B) (3)		1101	2579450			2579450	76.428	2579450		2579450	76.428		76.428		2375000

TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER - NON PUBLIC SHAREHOLDER

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Shares Underlying Depository Receipt	Total Nos. Shares Held	Shareholding Calculated as per SCRB, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying outstanding securities (including Warrants) (X)	Shareholding as % assuming full conversion of (XII)	Number of Locked in shares (XIII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in demat form
									Class X	Class Y	Total					
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)									

- (1) Custodian DR Holder
- (2) Employee Benefit Trust (under SEBI (share based Employee Benefit) Regulations, 2014

Total Non-Promoter - Non-Public Shareholding (C) = (C) (1) + (C) (2)