

**Format of holding of specified securities**

**Annexure - I**

1 **Name of Listed Entity : SWATI PROJECTS LTD.**

2 Scrip Code/Name of Scrip/Class of Security :

3 Share Holding Pattern Filed under: Reg. 31(1) ( b ) : **Quarter Ended 30/09/2021**

4 Declaration:

	<b>Particulars</b>	<b>Yes</b>	<b>No</b>
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

5 The tabular format for disclosure of holding of specified securities is as follows:-

For Swati Projects Limited

Managing Director  
Name: Shreegopal Daga  
DIN: 00397379

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No. of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter Group	6	1193325	0	0	1193325	23.572	1193325	0	1193325	23.572	0	23.572	0	0.000	0	0.000	1193325
(B)	Public	1104	3869175	0	0	3869175	76.428	3869175	0	3869175	76.428	0	76.428	0	0.000	0	0.000	3562575
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	<b>TOTAL</b>	<b>1110</b>	<b>5062500</b>	<b>0</b>		<b>5062500</b>	<b>100.000</b>	<b>5062500</b>	<b>0</b>	<b>5062500</b>	<b>100.000</b>	<b>0</b>	<b>100.000</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>4755900</b>

For Swati Projects Limited

Managing Director  
Name: Shreegopal Daga  
DIN: 00397379

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

	Category & Name of shareholders (I)	Entity type i.e. promoter OR promoter group entity (except promoter)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
										No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
										Class x	Class y	Total								
(1)	Indian			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(a)	Individuals/Hindu undivided Family			5	1045125	0	0	1045125	20.644	1045125	0	1045125	20.644	0	20.644	0	0.000	0	0.000	1045125
	ANURAG DAGA	PROMOTER	ADWPD3057D	0	2250	0	0	2250	0.044	2250	0	2250	0.044	0	0.044	0	0.000	0	0.000	2250
	SHREEGOPAL DAGA	PROMOTER	AALHS2654D	0	50925	0	0	50925	1.006	50925	0	50925	1.006	0	1.006	0	0.000	0	0.000	50925
	SHREEGOPAL DAGA	PROMOTER	ADWPD3061H	0	40125	0	0	40125	0.793	40125	0	40125	0.793	0	0.793	0	0.000	0	0.000	40125
	SUDHA DAGA	PROMOTER	ADWPD3060G	0	587625	0	0	587625	11.607	587625	0	587625	11.607	0	11.607	0	0.000	0	0.000	587625
	WATSALA DAGA	PROMOTER	ADPPM4670G	0	364200	0	0	364200	7.194	364200	0	364200	7.194	0	7.194	0	0.000	0	0.000	364200
(b)	Central Government/State Government(s)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Financial Institutions/Banks			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Any Other			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate			1	148200	0	0	148200	2.927	148200	0	148200	2.927	0	2.927	0	0.000	0	0.000	148200
	VINAL INVESTMENTS LTD	PROMOTER	AABCV1555F	0	148200	0	0	148200	2.927	148200	0	148200	2.927	0	2.927	0	0.000	0	0.000	148200
	Trusts			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Members			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(1)			6	1193325	0	0	1193325	23.572	1193325	0	1193325	23.572	0	23.572	0	0.000	0	0.000	1193325
(2)	Foreign			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Government			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Institutions			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Portfolio Investor			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(2)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	<b>Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>			<b>6</b>	<b>1193325</b>	<b>0</b>	<b>0</b>	<b>1193325</b>	<b>23.572</b>	<b>1193325</b>	<b>0</b>	<b>1193325</b>	<b>23.572</b>	<b>0</b>	<b>23.572</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>1193325</b>

For Swati Projects Limited

Managing Director  
Name: Shreegopal Daga  
DIN: 00397379

**Table III - Statement showing shareholding pattern of the Public shareholder**

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)
									No. of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class x	Class y	Total								
(1)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Mutual Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(f)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(g)	Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(i)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Foreign Institutional Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(1)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(2)	Central Government/State Government(s)/President of India		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(3)	Non-Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		1090	306600	0	0	306600	6.056	306600	0	306600	6.056	0	6.056	0	0.000	0	0.000	0
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		7	1500000	0	0	1500000	29.630	1500000	0	1500000	29.630	0	29.630	0	0.000	0	0.000	1500000

For Swati Projects Limited

Managing Director  
Name: Shreegopal Daga  
DIN: 00397379

	BINOD KUMAR SINGH	AAHNB3813R	0	252000	0	0	252000	4.978	252000	0	252000	4.978	0	4.978	0	0.000	0	0.000	252000
	BASDEB DHURIA	AUPPD1930P	0	52500	0	0	52500	1.037	52500	0	52500	1.037	0	1.037	0	0.000	0	0.000	52500
	HARI DAS	AYSPD7190E	0	252000	0	0	252000	4.978	252000	0	252000	4.978	0	4.978	0	0.000	0	0.000	252000
	LAXMI KANT TIWARI	AECPT7411E	0	286500	0	0	286500	5.659	286500	0	286500	5.659	0	5.659	0	0.000	0	0.000	286500
	MAHENDRA KUMAR SHUKLA	CQIPS3307L	0	211500	0	0	211500	4.178	211500	0	211500	4.178	0	4.178	0	0.000	0	0.000	211500
	MAHESWAR NAYAK	AJVPN8210F	0	252000	0	0	252000	4.978	252000	0	252000	4.978	0	4.978	0	0.000	0	0.000	252000
	UPENDRA KUMAR SINGH	COOPS7520B	0	193500	0	0	193500	3.822	193500	0	193500	3.822	0	3.822	0	0.000	0	0.000	193500
(b)	NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Employee Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Overseas Depositors (holding DRs)(balancing figure)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate		7	2062575	0	0	2062575	40.742	2062575	0	2062575	40.742	0	40.742	0	0.000	0	0.000	2062575
	ANURODH INFRASTRUCTURE PVT. LTD	AAJCA1464E	0	375000	0	0	375000	7.407	375000	0	375000	7.407	0	7.407	0	0.000	0	0.000	375000
	BURNPUR POWER PRIVATE LIMITED	AADCB7722N	0	375000	0	0	375000	7.407	375000	0	375000	7.407	0	7.407	0	0.000	0	0.000	375000
	FASTNER MACHINERY DEALERS PVT. LTD	AABCF6671N	0	187500	0	0	187500	3.704	187500	0	187500	3.704	0	3.704	0	0.000	0	0.000	187500
	JAGUAR INFRA DEVELOPERS PRIVATE LIMITED	AACCJ5145N	0	375000	0	0	375000	7.407	375000	0	375000	7.407	0	7.407	0	0.000	0	0.000	375000
	MULTIFOLD PLASTIC MARKETING PRIVATE LIMI	AAGCM9703K	0	375000	0	0	375000	7.407	375000	0	375000	7.407	0	7.407	0	0.000	0	0.000	375000
	WONDERLAND PAPER SUPPLIERS PRIVATE LIMIT	AABCW0544K	0	375000	0	0	375000	7.407	375000	0	375000	7.407	0	7.407	0	0.000	0	0.000	375000
	QFI - Individual		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	NRI - Repatriable		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	NRI - Non-Repatriable		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Memebers		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	IEPF Authority		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Unclaimed Shares A/c		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(3)		1104	3869175	0	0	3869175	76.428	3869175	0	3869175	76.428	0	76.428	0	0.000	0	0.000	3562575
	<b>Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)</b>		<b>1104</b>	<b>3869175</b>	<b>0</b>	<b>0</b>	<b>3869175</b>	<b>76.428</b>	<b>3869175</b>	<b>0</b>	<b>3869175</b>	<b>76.428</b>	<b>0</b>	<b>76.428</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>3562575</b>

For Swati Projects Limited

Managing Director

**Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public shareholder**

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class x	Class y	Total								
(1)	Custodian.DR Holder																		
(2)	Employee Benefit Trust (under SEBI (share based Employee Benefit) Regulations, 2014																		
	<b>Total Non-Promoter - Non-Public Shareholding (C)=(C)(1)+(C)+(2)</b>																		

For Swati Projects Limited

Managing Director  
Name: Shreegopal Daga  
DIN: 00397379

**Table V - Statement showing shareholding details of significant beneficial owner**

		<i>Details of the significant beneficial owner (I)</i>			<i>Details of the registered owner (II)</i>			<i>Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect :(III) Whether by virtue of:</i>				
Sr No.	Name	PAN/Passport No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution %	Exercise of control	Exercise of significant influence	Date of creation / acquisition of significant beneficial interest (IV)

For Swati Projects Limited

Managing Director  
 Name: Shreegopal Daga  
 DIN: 00397379

For Swat

Managing  
 Name: S  
 DIN: 003

Managing  
 Name: S  
 DIN: 003